



17698 U.S. PTO

**NEW UTILITY PATENT  
APPLICATION TRANSMITTAL**

Attorney Docket No. :

First Named Inventor: **Ernest Heinz**Total Pages in this submission: **18**APPLICATION ELEMENTS

1. ☒ Fee Transmittal Form (1)
2. Specification (10 )
  - ☒ Title of the Invention
  - ☐ Cross References to Related Appns.
  - ☐ Statement Regarding Federally Sponsored R&D
  - ☐ Reference to Microfiche Appendix
  - ☒ Background of the Invention
  - ☒ Brief Summary of the Invention
  - ☒ Brief Description of the Drawings
  - ☒ Detailed Description
  - ☒ Claims
  - ☒ Abstract of the Disclosure
3. ☒ Drawings (2)
4. ☒ Executed Declaration (1)
5. Genetic Sequence Submission
  - ☐ Paper Copy
  - ☐ Computer Readable Copy
  - ☐ Statement Verifying Identical Paper and Computer Readable Copy

ACCOMPANYING APPLICATION PARTS

6. ☐ Assignment Papers
7. ☐ Certified Copy of Priority Documents
8. ☐ Computer Program in Microfiche
9. ☐ English Translation Document
10. ☐ Information Disclosure ☐ Copies of Statement IDS Citations
11. ☐ Petition Checklist & Petition
12. ☐ Preliminary Amendment
13. ☐ Proprietary Information
14. ☒ Return Receipt Postcard (1)
15. ☒ Small Entity Statement (1)
16. ☒ Additional Disclosures
  - Express Mail Certificate (1)
  - Check for \$385 (1)

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm or **Joseph E. Funk**

Individual

Signature

Date

**February 4, 2004**

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**FOR OFFICIAL USE ONLY**

|                 |                      |                    |
|-----------------|----------------------|--------------------|
| Application No. | Class                | Independent Claims |
| Date of Receipt | GAU                  | Total Claims       |
| Appn. Type      | For. Filing License? | Drawing Sheets     |
| Filing Date     | Foreign Address?     | Special Handling?  |
| Small Entity    |                      |                    |

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10/771531



PTO/SB/17 (10-96)

**FEE TRANSMITTAL****Total Amount of Payment \$ 385****METHOD OF PAYMENT** (Check one)

1. ☐ The Commissioner is hereby authorized to charge indicated fees and credit any over payments to:  
Deposit Acct. No.  
Deposit Acct. Name.  
☐ Charge any additional fee ☐ Charge the Issue Fee required under 37CFR 1.16 and 1.17 set in 37CFR1.311(b)

2. ☒ Payment Enclosed  
☒ Check ☐ Money Order ☐ Other

**FEE CALCULATION** (fees effective 10/1/96)**1. FILING FEE**

| Large Entity Fee Code | Small Entity Fee Code | Description      | Fee Paid |
|-----------------------|-----------------------|------------------|----------|
| 101 770               | 201 370               | Utility file fee | \$ 385   |
| 106 320               | 206 160               | Design file fee  | \$       |
| 107 530               | 207 265               | Plant file fee   | \$       |
| 108 770               | 208 385               | Reissue file fee | \$       |
| 114 150               | 214 75                | Prov. file fee   | \$       |

**SUBTOTAL (1) \$ 385****2. CLAIMS**

| Total claims [18] - 20 =         | Extra below    | Fee from | Fee Paid |
|----------------------------------|----------------|----------|----------|
| [ 0 ] X [ ] =                    |                |          | \$       |
| Indep. claim [4] - 3 =           | [ 1 ] X [43] = |          | \$       |
| Multiple Dep. Claims [ ] X [ ] = |                |          | \$       |

| Large Entity Fee Code | Small Entity Fee Code | Description   |
|-----------------------|-----------------------|---|
| 103 22                | 203 11                | Claims in excess of 20                                  |
| 102 80                | 202 43                | Ind. claims - excess of 3                               |
| 104 260               | 204 130               | Multiple dep. claims                                    |
| 109 80                | 209 40                | Reissue ind. claims over original patent                |
| 110 22                | 210 11                | Reissue claims in excess of 20 and over original patent |

**SUBTOTAL (2) \$****Complete If Known**

Application No.  
Filing Date  
First Named Inventor **Ernest Heinz**  
Group Art Unit  
Examiner Name  
Atty. Docket No.

**FEE CALCULATION** (continued)**3. ADDITIONAL FEES**

| Large Entity Fee Code | Small Entity Fee Code | Fee Description   | Fee Paid  |
|-----------------------|-----------------------|---|-----------|
| 105 130               | 205 65                | Surcharge - late filing fee or oath                               | \$        |
| 127 50                | 227 25                | Surcharge - late provisional filing fee or cover sheet            | \$        |
| 139 130               | 139 130               | Non-English specification   | \$        |
| 147 2460              | 147 2460              | Filing a request for re-exam                                      | \$        |
| 112 900               | 112 900               | Requesting publication of SIR prior to Examiner action            | \$        |
| 113 1790              | 113 1790              | Requesting publication of SIR after Examiner action               | \$        |
| 115 110               | 215 55                | Ext. for response in 1st month                                    | \$        |
| 116 390               | 216 195               | Ext. for response in 2nd month                                    | \$        |
| 117 930               | 217 465               | Ext. for response in 3rd month                                    | \$        |
| 118 1470              | 218 735               | Ext. for response in 4th month                                    | \$        |
| 119 300               | 219 150               | Notice of Appeal  | \$        |
| 120 300               | 220 150               | Filing brief supporting appeal                                    | \$        |
| 121 260               | 221 130               | Request for oral hearing  | \$        |
| 138 1470              | 138 1470              | Petition to institute public use proceeding                       | \$        |
| 140 110               | 240 55                | Petition to Revive - Unavoidably abandoned application            | \$        |
| 141 1290              | 241 645               | Petition To Revive Unintentionally abandoned application          | \$        |
| 142 1290              | 242 645               | Utility Issue Fee (or Reissue)                                    | \$        |
| 143 440               | 243 220               | Design Issue Fee  | \$        |
| 144 650               | 244 325               | Plant Issue Fee   | \$        |
| 122 130               | 122 130               | Petitions to Commissioner   | \$        |
| 123 50                | 123 50                | Petitions for provisional appns.                                  | \$        |
| 126 230               | 126 230               | Submission of Inf. Disc. Sheet                                    | \$        |
| 581 40                | 581 40                | Recording each patent assignment per property (X number of prop.) | \$        |
| 146 770               | 246 385               | Filing a submission after final rejection (37 CFR 1.129(a))       | \$        |
| 149 770               | 249 385               | For each added invention to be examined (37CFR1.129(b))           | \$        |
| Other Fee (specify):  |                       |   | \$        |
| Other Fee (specify):  |                       |   | \$        |
| Other Fee (specify):  |                       |   | \$        |
| <b>SUBTOTAL (3)</b>   |                       |   | <b>\$</b> |

**Complete (If Applicable)**

Reg. Number: **25,974**  
Deposit Acct.:  
User ID

Submitted By:

Typed or:

**Joseph E. Funk**

Printed Name:

Signature: *Joseph E. Funk* Date: 2/4/04

Certificate under 37 CFR 1.10 of Mailing by "Express Mail"

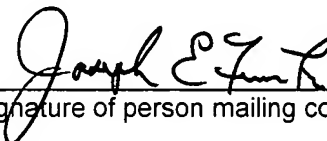
ER 713483703 US

"Express Mail" Label Number

February 4, 2004

Date of Deposit

I hereby certify that this correspondence is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.

  
\_\_\_\_\_  
Signature of person mailing correspondence

Joseph E. Funk

Typed or printed name of person mailing correspondence

Note: Each paper must have its own certificate of mailing by "Express Mail".

**Title: Disposable Plate System**

**Inventor: Ernest Heinz**

**Application w/ 2 sheets drawings (12 sheets total)**

**Declaration and Power of Attorney**

**Verified Statement Claiming Small Entity Status (PTO/SB/09)**

**Check for \$385**

**Return Postcard**

**New Utility Patent Appn Transmittal (PTO/SB/05)**

**This Express Certificate of Mailing (PTO/SB/93)**

**VERIFIED STATEMENT CLAIMING SMALL ENTITY STATUS  
(37 CFR 1.9(f) & 1.27(b)) – INDEPENDENT INVENTOR**

Docket Number (Optional)

Applicant or Patentee: Ernest Heinz  
Application for Patent No.: \_\_\_\_\_  
Filed or Issued: \_\_\_\_\_  
Title: **Disposable Plate System** \_\_\_\_\_

As a below named inventor, I hereby declare that I qualify as an independent inventor as defined in 37 CFR 1.99 (c) for purposes of paying reduced fees to the Patent and Trademark Office described in:

- ☐ the specification file herewith with title as listed above.
- ☒ the application identified above.
- ☐ the patent identified above.

I have not assigned, granted, conveyed, or licensed, and am under no obligation under contract or law to assign, granted, convey, or license, any rights in the invention to any person who would not qualify as an independent inventor under CFR 1.9 (c) if that person had made the invention, or to any concern which would not qualify as a small business concern under CFR 1.9 (d), or a non-profit organization under CFR 1.9(e).

Each person, concern, or organization to which I have assigned, granted, conveyed, or licensed, or am under an obligation under contract or law to assign, grant, convey, or license any rights in the invention is listed below:

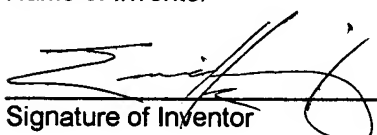
- ☒ No such person, concern, or organization exists.
- ☐ Each such person, concern, or organization is listed below.

Separate verified statements are required for each named person, concerned, or organization having writes to the invention averring to their status as small entities. (37 CFR 1.27)

I acknowledge to duty to file, in this application or patent, notification of any change in status resulting in loss of entitlement to small entity status prior to paying, or at the time of paying, the earliest of the issue fee or any maintenance fees due after the date on which status as a small entity is no longer appropriate. (37 CFR 1.28 (b))

I hereby declare that all statements made herein of my own knowledge are true and all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under section 1001 of Title 18 of the United States Code, and such willful false statements may jeopardize the validity of the application, any patent issuing thereon, or any patent to which this verified statement is directed.

Ernest Heinz  
Name of Inventor

  
Signature of Inventor

Date: January 31, 2004 \_\_\_\_\_